

# THE SHAW PRIZE

## Rules of Procedures

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### Preamble

These Rules of Procedures are established in pursuance of Clause 4(2) of the Memorandum of Association (“the Memorandum”) of The Shaw Prize Foundation Limited (“the Foundation”) registered at the Companies Registry (Administration Section) of the Hong Kong Special Administration Region of the People’s Republic of China on July 12, 2003. Clause 4(2) states that “*The Board of Directors of the Foundation shall establish rules of procedures governing the operation of the [The] Shaw Prize.*”

A three-tier organ composed of a council, a board of adjudicators, and selection committees for each category of The Shaw Prize (“the Prize”) is therefore established for this purpose.

### The Shaw Prize Council

1. The full name of the council is The Shaw Prize Council (“the Council”). Members of the Council shall be appointed by the Board of Directors of the Foundation (“the Board”) and shall elect among themselves a chairman. The Board shall also determine the term of appointment of the Council members. The Council may determine the composition of its members and such a decision must be reported to the Board for approval.
2. The Council shall be fully responsible for matters in relation to the operation and promotion of The Shaw Prize in accordance with the Objects and Powers of the Foundation as stated in the Memorandum.

The Council shall report to the Board of Directors of the Foundation.

### The Board of Adjudicators

3. The Board of Adjudicators shall be headed by a Chairman appointed by the Council. The Council shall also determine the Chairman's term of appointment. The Chairman shall be an ex-officio member of the Council.
4. Under the Board of Adjudicators there shall be individual Selection Committees to adjudicate on the nominations for each category of the Prize. Chairmen of the Selection Committees shall become members of the Board of Adjudicators.
5. The Board of Adjudicators shall be responsible for supervising the Selection Committees and for reviewing the proposals for the award of The Shaw Prize submitted by the Selection Committees. The Board of Adjudicators shall act and judge independently, impartially and in strict confidence when reviewing these proposals.
6. The Board of Adjudicators shall take into consideration the proposals of the Selection Committees and recommend to the Council the prize winners. The recommendations of the Board of Adjudicators shall be irrevocable. The Board shall decline any appeal or request for investigation.
7. Each year, the Board of Adjudicators shall determine the nominators for each category of the Prize as well as the rules and schedule for inviting nominations.

### The Selection Committees

8. The composition of individual Selection Committees shall be determined by the Board of Adjudicators.
9. A Selection Committee shall be headed by a Chairman whose appointment shall be recommended to the Council by the Chairman of the Board of Adjudicators.

10. The Chairmen and members of the Selection Committees shall normally be appointed for a renewable term of two years.
11. There are, currently, three Selection Committees, one for each category of The Shaw Prize -- Astronomy, Life Science and Medicine, and Mathematical Sciences.
12. Each year, the Selection Committees shall select among the nominations received by The Shaw Prize Secretariat the most appropriate and deserving candidates. Each Selection Committee shall then submit a proposal to the Board of Adjudicators regarding the award of The Shaw Prize.
13. The Selection Committees shall act and judge independently, impartially and in strict confidence when selecting candidates for The Shaw Prize. Expert opinion may be solicited from professionals outside the Selection Committee.

#### Nomination

14. Nominations for The Shaw Prize shall only be submitted by invited nominators. Self nominations or nominations by uninvited persons shall be discarded.
15. The nomination of a person already deceased shall not be considered.
16. A nomination shall be valid only if it is received by The Shaw Prize Secretariat on or before the deadline stated in the invitation letter and on the nomination form.
17. A nomination may be invalidated if it does not comply with the rules stipulated in the invitation letter and on the nomination form.
18. A nomination validly submitted and accepted in a nomination year shall be considered only for that particular year. A nomination year means the period between two nomination invitations.

## The Prize

19. For the year 2004 each Shaw Prize shall have a monetary award of one million US Dollars. Every winner shall also receive a medal and a certificate.
20. A Prize may be presented to the winner's estate if the winner identified for that Prize dies after the announcement of the winners.
21. There may be more than one winner of a Prize in a category. In such cases, the Foundation shall determine how the prize money is to be divided.
22. If the Foundation decides not to award a Prize one year, this shall not have any effect on The Shaw Prize the following year.

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